



09-03-04

cgc

ConocoPhillips

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September 2, 2004

**Certificate**  
**SEP 10 2004**  
**of Correction**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Patent No.: 6,755,962 B2  
Issued: June 29, 2004  
Our Ref.: COP-9259.0-00

Sir:


Enclosed are the following papers for recordal in the USPTO:

- ❖ Corrected *Certificate of Correction*;
- ❖ Transmittal Form;
- ❖ Certificate of Mailing By "Express Mail" (37 CFR 1.10).

Also enclosed are copies of earlier papers filed that include our August 9, 2004 Fee Transmittal for FY 2004 form, and our August 9, 2004 Certificate of Mailing showing that the Certificate of Correction Recordal Fee of \$100 has already been deducted from our Deposit Account.

Please charge any additional fee(s) or any underpayment of fee(s), if necessary, and credit any overpayments to Deposit Account No. 16-1575 in the name of ConocoPhillips Company.

Very truly yours,



Kim S. Manson

/jmr  
Enclosure(s) - 5

SEP 09 2004



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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/852,107	
	Filing Date	May 9, 2001	
	First Named Inventor	Dwijen K. Banerjee	
	Art Unit	1764	
	Examiner Name	Nadine Norton	
Total Number of Pages in This Submission		Attorney Docket Number	COP -9259.0-00

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<b>Certificate of Correction; Postcard Previously submitted Fee Transmittal and Certificate of Mailing</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Kim S. Manson
Signature	
Date	September 2, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as <del>first class</del> <b>Express</b> mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the			
Typed or printed name	Kim S. Manson	Express Mail # ET228453465US	
Signature		Date	September 2, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

SEP 09 2004



Case No. 9259.0-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Dwijen K. Banerjee  
Serial No.: 09/852,107  
Filed: May 9, 2001  
For: Combined Thermal And Catalytic Treatment Of Heavy Petroleum In A Slurry  
Phase Counterflow Reactor  
Art Unit: 1764  
Examiner: Nadine Norton

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

I hereby certify that the following correspondence:

**Certificate of Correction**

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450 on September 2, 2004.

  
Joan M. Rondeau

ET228453465US  
Express Mail Mailing Label Number

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,755,962 B2  
DATED : Jun. 29, 2004  
INVENTOR(S) : Dwijen K. Banerjee

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Column 3, line 19, delete "atypical" and insert therefore --a typical--.

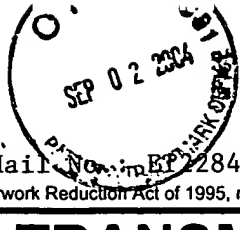
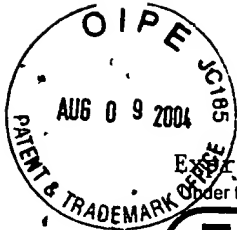
Column 5, line 49, after "cocurrent" add --slurry reactors.--

MAILING ADDRESS OF SENDER:

Kim S. Manson  
ConocoPhillips Company  
ML-2112  
600 North Dairy Ashford  
Houston, TX 77079-1175

PATENT NO. 6,755,962 B2

Express Mail No.: ET228453465US

Express Mail No. **EP228453488US**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** **\$100.00****Complete if Known**

Application Number	09/852,107
Filing Date	May 9, 2001
First Named Inventor	Dwijen K. Banerjee
Examiner Name	Nadine Norton
Art Unit	1764
Attorney Docket No.	COP-9259.0-00

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
Deposit Account Name**16-1575****ConocoPhillips Company**

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

**2. EXTRA CLAIM FEES FOR UTILITY AND**

	Extra Claims	Fee from below	Fee Paid
Total Claims	20** = 0	X	0.00
Independent Claims	3*** = 0	X	0.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Certificate of Correction

100.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

\$100.00

**SUBMITTED BY**

Name (Print/Type)

Klm S. Mason

Registration No.  
(Attorney/Agent)

42,839

**Complete (if applicable)**

Telephone

281-293-3580

Signature

Date

August 9, 2004

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEP 09 2004

8-10-04

COFC  
#



6755962

Case No. 9259.0-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Dwijen K. Banerjee

Serial No.: 09/852,107

Filed: May 9, 2001

For: Combined Thermal And Catalytic Treatment Of Heavy Petroleum In A Slurry  
Phase Counterflow Reactor

Art Unit: 1764

Examiner: Nadine Norton

**Certificate**

**AUG 13 2004**

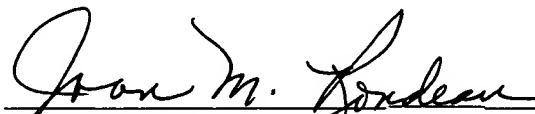
**of Correction**

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Joan M. Rondeau

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